

## NON-DISCLOSURE AGREEMENT

This Non-Disclosure Agreement (the "Agreement"), effective \_\_\_\_\_ is entered into by and between Rochester Institute of Technology, a not for profit institution of higher education, with offices at 1 Lomb Memorial Drive Rochester, New York 14623 ("Discloser") and \_\_\_\_\_, a corporation, with offices at \_\_\_\_\_ ("Recipient").

1. Purpose. The Discloser has agreed to make available to the Recipient certain Confidential Information (as defined below) of the Discloser for the purpose of evaluating a possible business transaction between Discloser and Recipient.

2. Definition. "Confidential Information" means any information, technical data, or know-how, including, but not limited to, that which relates to research, product plans, products, services, customers, markets, software, developments, inventions, processes, designs, drawings, engineering, hardware configuration information, marketing or finances, which Confidential Information is designated in writing to be confidential or proprietary, or if given orally, is confirmed promptly in writing as having been disclosed as confidential or proprietary. Confidential Information does not include information, technical data or know-how which: (i) is in the possession of the receiving party at the time of disclosure as shown by the receiving party's files and records immediately prior to the time of disclosure; (ii) prior or after the time of disclosure becomes part of the public knowledge or literature, not as a result of any inaction or action of the receiving party; or (iii) is approved for release by the Discloser in writing.

3. Non-Disclosure of Confidential Information. The Recipient agrees not to use the Confidential Information for any purpose other than that set forth in Section 1 of this Agreement. The Recipient will not disclose any Confidential Information to third parties except those directors, officers, employees, consultants and agents of Recipient who are required to have the information in order to carry out the purpose set forth in Section 1 of this Agreement. Recipient has had or will have directors, officers, employees, consultants and agents of Recipient to whom Confidential Information is disclosed or who have access to Confidential Information sign a Non-Disclosure Agreement in content substantially similar to this Agreement and will promptly notify the Discloser in writing of the names of each such person who has signed such agreements after such agreements are signed. Recipient agrees that it will take all reasonable measures to protect the secrecy of and avoid disclosure or use of Confidential Information in order to prevent it from falling into the public domain or the possession of persons other than those persons authorized hereunder to have any such information, which measures shall include the highest degree of care that Recipient utilizes to protect its own Confidential Information of a similar nature. Recipient agrees to notify the Discloser in writing of any misuse or misappropriation of such Confidential Information which may come to its attention.

4. Mandatory Disclosure. In the event that the Recipient or its directors, officers, employees, consultants or agents are requested or required by legal process to disclose any of the Confidential Information, the Recipient shall give prompt notice so that the Discloser may seek a protective order or other appropriate relief. In the event that such protective order is not obtained,

the Recipient shall disclose only that portion of the Confidential Information which its counsel advises that it is legally required to disclose.

5. Return of Materials. Any materials or documents of which have been furnished by the Discloser to the Recipient will be promptly returned within five (5) business days, accompanied by copies of such documentation, after the evaluation set forth in Section 1 of this Agreement has been concluded.

6. No License Granted. Nothing in this Agreement is intended to grant any rights to Recipient under any patent, copyright, trade secret or other intellectual property right nor shall this Agreement grant Recipient any rights in or to the other party's Confidential Information, except the limited right to review such Confidential Information solely for the purpose set forth in Section 1 of this Agreement.

7. Export Control. Discloser has categorized this project as export controlled under the International Traffic in Arms Regulation (ITAR) or the Export Administration Regulations (EAR) and the applicable Export Control Regulations of the United States. As a result, certain technical data, hardware, software or other information furnished to Recipient shall be labeled "Export Controlled." Other specific technical information created by the Recipient may be export controlled as well if it relates to the specific product design or prototype to be created in this project. Recipient agrees that export controlled information shall not be disclosed to any unauthorized foreign person, firm, country, including foreign persons employed by Discloser. Recipient understands there are civil and criminal penalties for failure to comply with U.S. Export Control laws and will comply with all applicable laws while performing services for Discloser. Specifically, Recipient agrees to comply with U.S. export control laws and regulations on all information it receives that is labeled "Export Controlled" and on information it creates in the scope of work on this project that is export controlled. Recipient further warrants that all individuals that will be given access to this information are U.S. citizens or permanent U.S. residents with a valid permanent resident cards, and that the information disclosed to Recipient will be stored securely. If Recipient has any questions concerning this clause, Recipient should contact Discloser immediately.

8. Term. The foregoing commitments shall survive any termination of discussions between the parties, and shall continue for a period of five (5) years following the date of this Agreement.

9. Miscellaneous. This Agreement shall be binding upon and for the benefit of the undersigned parties, their successors and assigns, provided that Confidential Information may not be assigned without the prior written consent of the Discloser. Failure to enforce any provision of this Agreement shall not constitute a waiver of any term hereof.

10. Severability. If any part of this Agreement should be held invalid by operation of law or by a tribunal of competent jurisdiction, the balance of this Agreement shall continue in full force and effect. The part held invalid shall be modified as required by law or the tribunal of competent jurisdiction.

11. Counterparts. This Agreement may be executed in counterparts, each of which will be deemed an original, and all of which constitute one and the same instrument. Each Party will execute and promptly deliver to the other Party a copy of this Agreement bearing an Original Signature. "Original Signature" means a copy of a signature of a Party that is reproduced or

transmitted via email or a .pdf file, photocopy, facsimile, or other process of complete and accurate reproduction and transmission.

12. Notices. All notices and other communications hereunder shall be in writing and shall be and mailed by certified mail return receipt requested or by Federal Express to the party to be notified at its address listed above (or at such different addresses as the party to receive the notice so designates by written notice to the other party).

13. Governing Law and Jurisdiction. This Agreement shall be governed by and construed and enforced in accordance with the internal laws of the State of New York (without regard to its conflict of law rules), and shall be binding upon the parties hereto in The United States of America and worldwide. The state courts within Monroe County New York and/or the federal courts located in Western New York shall have exclusive jurisdiction to adjudicate any dispute arising out of this Agreement.

14. Remedies. Recipient agrees that its obligations hereunder are necessary and reasonable in order to protect the Discloser and its business, and expressly agrees that monetary damages would be inadequate to compensate the Discloser for any breach of any covenants and agreements set forth herein. Accordingly, Recipient agrees and acknowledges that any such violation or threatened violation will cause irreparable injury to the Discloser and that, in addition to any other remedies that may be available, in law, in equity or otherwise, the Discloser shall be entitled to obtain injunctive relief against the threatened breach of this Agreement or the continuation of any such breach, without the necessity of proving actual damages.

15. Entire Agreement. This Agreement, embodies the entire agreement and understanding by and between the parties with respect to the subject matter herein referred to, and no representations, promises, agreements, or understandings, written or oral, not herein contained shall be of any force or effect. No change or modification shall be valid or binding unless the same is in writing and signed by both parties.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first written above.

**ROCHESTER INSTITUTE OF  
TECHNOLOGY**

Signed:\_\_\_\_\_

Name:\_\_\_\_\_

Title:\_\_\_\_\_

Date:

Signed:\_\_\_\_\_

Name:\_\_\_\_\_

Title:\_\_\_\_\_

Date: